**FORM 6**

**THE SECURITIES ACT, 2015**

**SECTION 103(1)(b)/(c)**

**RETURN CONTAINING PARTICULARS OF**

**CHANGE IN BENEFICIAL OWNER SHIP OF LISTED SECURITIES**

**AS AT --------------------------------**

*Please complete in typescript or in bold block capitals.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1. CUIN/passport No./Incorporation Number |  |  |  |  |  |  |  |

|  |  |
| --- | --- |
| 2. Name of the Company whose securities are held |  |

|  |  |
| --- | --- |
| 2.1 Name of the director / executive officer / substantial shareholder |  |

|  |  |  |  |
| --- | --- | --- | --- |
| 2.2 | Particulars of nominee director, if any (nominated by substantial shareholder) | Name: | CNIC No. |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 3. Fee Paid (Rs.) |  |  |  | |  | | Name & Branch of the Bank | | |  | | | | | | | |
|  |  | | | Day Month Year | | | | | | | | | | | | | |
| 4. Receipt No. |  | | | | | Date | |  |  |  |  |  |  |  |  |  |  | |

*(Bank challan to be attached in original)*

5. In pursuance of Section 103 of the Securities Act, 2015, I hereby report that the following changes have taken place in my beneficial ownership in the above named company

**PART-A Details of Change(s) in securities beneficially owned, held or controlled by Self,** Spouse/dependents and by Private company**,**

1. **by Self:**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** |
| **Name** | **CNIC/ passport No.** | **Date of change** | **Nature of change**  **(Including sale/purchase/bonus/right/gift, etc.)** | **No. of shares** | **Rate per share**  **(Rs.)** | **To whom shares acquired/given** | **Holding before this change** | **Present shareholding (after adjustment)** |
|  |  |  |  |  |  |  |  |  |

1. **by Spouse/dependents:**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** |
| **Name** | **Relation** | **CNIC No., if any** | **Date of change** | **Nature of change**  **(including sale/purchase/bonus/right/gift, etc.)** | **No. of shares** | **Rate per share** | **From/to whom shares acquired/given** | **Holding before this change** | **Present shareholding (after adjustment)** |

**( iii) by Private company:**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** |
| **Name of Private Company** | **Incorporation no.** | **Date of change** | **Nature of change**  **(sale/purchase/bonus/right/gift, etc.)** | **No. of shares** | **Rate per**  **Share**  **(Rs.)** | **From/to whom shares acquired/given** | **Holding before this change** | **Present shareholding (after adjustment)** | **\*My interest in % in the private company** | **\*\*Beneficially owned No. of securities by me through the private company** |
|  |  |  |  |  |  |  |  |  |  |  |

\* No. of shares of the private company held by you divided by total issued shares of the private company multiplied by 100

\*\* Column No. 9 multiplied by Column No. 10

**PART-B**

2. My total beneficial ownership in the company as on the aforesaid date is as under:-

|  |  |  |
| --- | --- | --- |
| Description | No. of Securities beneficially owned | Beneficial ownership in % of the issued shares of listed company |
| Shares shown in column 9 of Part-A(i) |  |  |
| Shares shown in column 10 of Part-A(ii) |  |  |
| Shares shown in column 11 of Part-A(iii) |  |  |
| Total Beneficial ownership |  |  |

|  |
| --- |
| ***6. I hereby declare that the information given in respect of my ownership and of the persons mentioned above is correct and compete to the best of my knowledge and belief.*** |

Signature …………………………………

Name ………………………………..

Designation ………………………………..

Investor account ……………………………….

CDC sub-account

Date ………/…………/…………..

Note :

1. For the purposes of sections 101 to 107 of the Securities Act, 2015, the term “executive officer” - includes but not limited to the chief executive, chairman, chief financial officer, secretary, auditor or any other officer of the company as may be prescribed by the Commission.
2. For the purposes of sections 101 to 107 of the Securities Act, 2015 beneficial ownership of securities of any director, executive officer or substantial shareholder (in case of natural person) shall be deemed to include the securities beneficially owned, held or controlled by;
3. him/her;
4. the wife or husband of a director of a company (not being herself or himself a director of the company);
5. the minor son or daughter of a director where “son” includes step-son and “daughter” includes step-daughter; and “minor” means a person under the age of 18 years;
6. a private company, where such director, executive officer or substantial shareholder is a shareholder, but to the extent of his proportionate shareholding in the private company:

Provided that “control” in relation to securities means the power to exercise a controlling influence over the voting power attached thereto.

Provided further that in case the substantial shareholder is a non-natural person, only those securities will be treated beneficially owned by it, which are held in its name.

1. Every Director, executive officer and substantial shareholder of a listed Company who is or has been the beneficial owner of any equity securities is required to submit this return to the Commission within the period specified under section 103 of the Securities Act, 2015.
2. The statement must be signed by the director, executive officer or substantial shareholder, and in the case of a Company, by its Chief Executive, Director or Secretary.
3. Please furnish separate statement for each class of equity security beneficially owned.