

List of Orders passed under Section 6A(2)(h) of the Anti-Money Laundering Act, 2010 for contravention of the provisions of SRO 920/2020 for the period May 2021 to January 2023

Sr	Company	Specific Provision/Section of the Administered Law/Regulations	Order date	Outcome of the Matter	Status of Penalty
1	Primus Leasing Limited	SRO 920/ 2020	25-May-21	Warning	
2	Empower Commodities Pvt. Limited	SRO 920/ 2020	26-May-21	Warning	
3	Sindh Rural Support Organization	SRO 920/ 2020	27-May-21	Warning	
4	Organization for Poverty Reduction and Community Training program	SRO 920/ 2020	27-May-21	Warning	
5	Escort Investment Bank Limited	SRO 920/ 2020	27-May-21	Warning	
6	Sayya Micro finance Company ltd	SRO 920/ 2020	27-May-21	Warning	
7	Security Leasing Corporation Limited	SRO 920/ 2020	28-May-21	Warning	
8	Sarmuz Investment Limited	SRO 920/ 2020	1-Jun-21	Warning	
9	Saima Qaiser Securities Pvt. Limited	SRO 920/ 2020	2-Jun-21	Warning	
10	Merchant Investment Pvt. Limited	SRO 920/ 2020	2-Jun-21	Warning	
11	Rural Community Dev. Program	SRO 920/ 2020	3-Jun-21	Warning	
12	JS Investment Limited	SRO 920/ 2020	3-Jun-21	Warning	
13	Kifayah Investment Management Limited	SRO 920/ 2020	3-Jun-21	Warning	
14	Saudi Paki Leasing Company Limited	SRO 920/ 2020	3-Jun-21	Warning	
15	HP Securities Pvt Ltd.	SRO 920/ 2020	3-Jun-21	Warning	
16	Royal Securities (Pvt.) Ltd.	SRO 920/ 2020	3-Jun-21	Warning	
17	First Dawood Investment bank Limited	SRO 920/ 2020	4-Jun-21	Warning	
18	Alfa Adhi Securities Pvt Ltd.	SRO 920/ 2020	8-Jun-21	Warning	
19	C&M Management Pvt. Limited	SRO 920/ 2020	8-Jun-21	Warning	
20	Fintech Pvt. Limited	SRO 920/ 2020	8-Jun-21	Warning	
21	Multiple Investment Management Limited	SRO 920/ 2020	8-Jun-21	Warning	
22	Finja Lending Services Limited	SRO 920/ 2020	10-Jun-21	Warning	
23	LSE financial Services Limited	SRO 920/ 2020	10-Jun-21	Warning	
24	Taleem Finance Company Limited	SRO 920/ 2020	10-Jun-21	Warning	
25	Grays Leasing Limited	SRO 920/ 2020	10-Jun-21	Warning	
26	Balochistan Rural Support Program	SRO 920/ 2020	10-Jun-21	Warning	

27	FFO Support Program	SRO 920/ 2020	10-Jun-21	Warning	
28	KASHF Foundation	SRO 920/ 2020	10-Jun-21	Warning	
29	Ghazi Barotha Taraqiati Idara	SRO 920/ 2020	10-Jun-21	Warning	
30	Fikree's Pvt Ltd.	SRO 920/ 2020	10-Jun-21	Warning	
31	Ismail Iqbal Securities Pvt Ltd.	SRO 920/ 2020	10-Jun-21	Warning	
32	Spinzer Equities Pvt. Limited	SRO 920/ 2020	10-Jun-21	Warning	
33	Premier Insurance Limited.	SRO 920/ 2020	15-Jun-21	Warning	
34	Magnus Investment Advisor Limited	SRO 920/ 2020	16-Jun-21	Warning	
35	National Rural Support Program	SRO 920/ 2020	16-Jun-21	Warning	
36	Mojaz Support Program	SRO 920/ 2020	16-Jun-21	Warning	
37	Thardeep Microfinance Foundation	SRO 920/ 2020	16-Jun-21	Warning	
38	TEZ Financial Services Limited	SRO 920/ 2020	16-Jun-21	Warning	
39	Alpha Insurance Company Limited	SRO 920/ 2020	22-Jun-21	Warning	
40	Jubilee General Insurance Company Limited.	SRO 920/ 2020	22-Jun-21	Warning	
41	HG Markets Pvt Limited	SRO 920/ 2020	23-Jun-21	Warning	
42	Nachfolger Pvt. Limited	SRO 920/ 2020	23-Jun-21	Warning	
43	786 Investment Limited	SRO 920/ 2020	25-Jun-21	Warning	
44	Saath Microfinance Foundation Pakistan	SRO 920/ 2020	25-Jun-21	Warning	
45	SME leasing Limited	SRO 920/ 2020	25-Jun-21	Warning	
46	AMRDO Foundation	SRO 920/ 2020	25-Jun-21	Warning	
47	Punjab Rural Support Program	SRO 920/ 2020	25-Jun-21	Warning	
48	Shah Sachal Sami Foundation	SRO 920/ 2020	25-Jun-21	Warning	
49	Sarhad Rural Support Program	SRO 920/ 2020	25-Jun-21	Warning	
50	Shaheen Insurance Company Limited	SRO 920/ 2020	25-Jun-21	Warning	
51	Khawaja Securities Pvt Ltd.	SRO 920/ 2020	30-Jun-21	Warning	
52	Blink Capital Management Pvt. Limited	SRO 920/ 2020	30-Jun-21	Warning	
53	Azee Securities Pvt Ltd.	SRO 920/ 2020	30-Jun-21	Warning	
54	East West Insurance Company Limited	SRO 920/ 2020	4-Jul-21	Rs.100,000	Appeal
55	Micro Option Support Program	SRO 920/ 2020	9-Sep-21	Warning	
56	Jahanzaib Commodities Pvt. Limited	SRO 920/ 2020	4-Nov-21	Warning	
57	Dawood Mohammad Securities Pvt. Limited	SRO 920/ 2020	4-Nov-21	Warning	
58	Mohammad Munir Mohammad Ahmed Khanani Securities Limited	SRO 920/ 2020	4-Nov-21	Warning	
59	OPD Support Program	SRO 920/ 2020	5-Nov-21	Warning	
60	Amaan Capital Pvt. Limited	SRO 920/ 2020	5-Nov-21	Warning	
61	Margalla Financial Pvt. Limited	SRO 920/ 2020	5-Nov-21	Warning	
62	AKD Securities (Pvt.) Ltd.	SRO 920/ 2020	5-Nov-21	Warning	

63	MRA Securities Ltd.	SRO 920/ 2020	5-Nov-21	Warning	
64	Topline Securities Limited	SRO 920/ 2020	5-Nov-21	Warning	
65	Friendly Securities Pvt Ltd.	SRO 920/ 2020	5-Nov-21	Warning	
66	Markets & Futures Pvt. Limited	SRO 920/ 2020	5-Nov-21	Warning	
67	Khadim Ali Shah Bukhari Securities Limited	SRO 920/ 2020	18-Nov-21	Warning	
68	Fawad Yusuf Securities Pvt. Ltd.	SRO 920/ 2020	23-Nov-21	Warning	
69	Xpert Securities Limited	SRO 920/ 2020	23-Nov-21	Warning	
70	Azam Gold Trading Pvt Limited	SRO 920/ 2020	23-Nov-21	Warning	
71	Fairtrade Capital Securities Pvt Ltd.	SRO 920/ 2020	23-Nov-21	Warning	
72	First Street Capital (Pvt.) Ltd.	SRO 920/ 2020	23-Nov-21	Warning	
73	MSMANIAR Financials Pvt Ltd.	SRO 920/ 2020	26-Nov-21	Warning	
74	Prime Securities Pvt Limited	SRO 920/ 2020	26-Nov-21	Warning	
75	Next Capital Limited	SRO 920/ 2020	26-Nov-21	Warning	
76	Amanah Investment Limited	SRO 920/ 2020	26-Nov-21	Warning	
77	Growth Securities Pvt Ltd.	SRO 920/ 2020	10-Dec-21	Warning	
78	Maan Securities Pvt. Limited	SRO 920/ 2020	10-Dec-21	Warning	
79	ASDA Securities Pvt Ltd.	SRO 920/ 2020	10-Dec-21	Warning	
80	First Capital Investment Limited	SRO 920/ 2020	15-Dec-21	Warning	
81	First Capital Investment Limited	SRO 920/ 2020	15-Dec-21	Warning	
82	CAMCO Pvt Ltd.	SRO 920/ 2020	23-Dec-21	Rs.225,000	Appeal
83	Pak Oman Asset Management Co. Ltd.	SRO 920/ 2020	24-Dec-21	Warning	
84	Micro Option Support Program	SRO 920/ 2020	28-Dec-21	Warning	
85	Finja Lending Services Limited	SRO 920/ 2020	28-Dec-21	Warning	
86	Gul Dhami Securities Pvt Ltd.	SRO 920/ 2020	28-Dec-21	Warning	
87	Shaheen Insurance Company Limited	SRO 920/ 2020	7-Feb-22	Warning	
88	The Asian Mutual Insurance Company Limited	SRO 920/ 2020	7-Feb-22	Warning	
89	Postal Life insurance Company Limited	SRO 920/ 2020	14-Feb-22	Warning	
90	Saath Microfinance Foundation Pakistan	SRO 920/ 2022	18-Feb-22	Warning	
91	Sindh Insurance Limited	SRO 920/ 2020	24-Feb-22	Warning	
92	Alliance Investment Management Limited	SRO 920/ 2020	1-Mar-22	Warning	
93	Continental Capital Management Pvt Ltd.	SRO 920/ 2020	15-Mar-22	Warning	
94	Amigo Commodities Pvt. Limited	SRO 920/ 2020	17-Mar-22	Warning	
95	AMRDO Foundation	SRO 920/ 2021	31-Mar-22	Rs.250,000	Not paid
96	Patel Securities Pvt Ltd.	SRO 920/ 2020	7-Apr-22	Rs.225,000	Penalty Deposit on 19-May-22
97	Postal Life insurance Company Limited	SRO 920/ 2020	15-Apr-22	Warning	

98	National Insurance Company Limited	SRO 920/ 2020	19-Apr-22	Warning	
99	The Asian Mutual Insurance Company Limited	SRO 920/ 2020	25-Apr-22	Warning	
100	The Cooperative Insurance Society of Pakistan Limited	SRO 920/ 2020	30-Apr-22	Warning	
101	Pak Kuwait Takaful Company Limited	SRO 920/ 2020	7-May-22	Warning	
102	Bhayani Securities Pvt. Limited	SRO 920/ 2020	12-May-22	Rs.470,000	Appeal
103	Gulrez Securities (Pvt.) Ltd.	SRO 920/ 2020	19-May-22	Warning	
104	Surmawala Securities Pvt Limited	SRO 920/ 2020	20-May-22	Warning	
105	Investlink Capital (Private) Limited	SRO 920/ 2020	20-Jun-22	Warning	
106	We Financial Services Limited	SRO 920/ 2020	21-Jun-22	Warning	
107	Jubilee General Insurance Company Limited.	SRO 920/ 2020	22-Jun-22	Rs.50,000	Penalty Deposit on 15-Jul-22
108	Trafco Insurance Company Limited	SRO 920/ 2020	22-Jun-22	Rs.50,000	
109	Soon Valley Development Program	SRO 920/ 2020	23-Jun-22	Warning	
110	Ijara Capital Parters Limired	SRO 920/ 2020	23-Jun-22	Warning	
111	Shah Sachal Sami Foundation	SRO 920/ 2020	23-Jun-22	Rs.145,000	
112	Micro Option Support Program	SRO 920/ 2020	23-Jun-22	Rs.25,000	Penalty Deposit on 23-Jul-22
113	WASL Investment Finance Limited	SRO 920/ 2020	23-Jun-22	Warning	
114	AMRDO Foundation	SRO 920/ 2020	18-Jul-22	Rs.250,000	Not paid
115	Enrichers Pvt Limited	SRO 920/ 2020	26-Jul-22	Rs.100,000	Appeal No. 23/ 2023
116	Shajar Capital Pakistan (Pvt.) Ltd.	SRO 920/ 2020	28-Jul-22	Rs.25,000	Penalty Deposit on August 25, 2022
117	Saath Microfinance Foundation Pakistan	SRO 920/ 2020	29-Jul-22	Rs.250,000	Not paid
118	Fair Edge Securities (Pvt.) Ltd.	SRO 920 and AML/CT	11-Aug-22	Warning	
119	Value Stock and Commodities Pvt. Limited	SRO 920 and AML/CT	12-Aug-22	Warning	
120	128 Securities (Pvt.) Ltd.	SRO 920 and AML/CT	16-Aug-22	Warning	
121	Shaffi Securities Pvt Ltd.	SRO 920 and AML/CT	16-Aug-22	Warning	
122	Muhammad Amer Riaz Securities Pvt. Limited	SRO 920 and AML/CT	19-Aug-22	No adverse Order	
123	K&I Global Capital Pvt Ltd.	SRO 920/ 2020	22-Sep-22	Warning	

124	SAAO Capital Pvt Limited	SRO 920 AML/CFT Regulation	3-Nov-22	Warning	
125	Muhammad Tariq Moti Securities (Pvt.) Ltd.	SRO 920 and AML/CT	15-Dec-22	No adverse order	
126	Creditfix Financial Services Limited	SRO 920 -AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	19-Dec-22	Warning	
127	CSC Empowerment and Inclusion Program	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	19-Dec-22	Warning	
128	Pak Oman Asset Management Company Limited	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	19-Dec-22	Warning	
129	Parwaz Financial Services Limited	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	19-Dec-22	Warning	Review Application under Section 32- B of SECP Act, 1997
130	Balochistan Rural Support Program	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	5-Jan-23	35,000	Penalty Deposited on 26 January, 2023
131	Pak Gulf Leasing Company Limited	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	13-Jan-23	Warning	
132	Infra Zamin Pakistan Limited	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules 2020 and AML Act, 2010	13-Jan-23	Warning	
133	Grays Leasing Limited	SRO 920 - AML Regulations,20 20 & AML/CFT sanction Rules	18-Jan-23	25,000	Not Paid

		2020 and AML Act, 2010			
134	Cashew Financial Services Limited	SRO 920 - AML Regulations, 2020 & AML/CFT sanction Rules 2020 and AML Act, 2010	26-Jan-23	Warning	
135	Farmers Development Organization	SRO 920 - AML Regulations, 2020 & AML/CFT sanction Rules 2020 and AML Act, 2010	31-Jan-23	Warning	